

# 13



Docket No. 17171 CIP4DIV (AP)  
Application No. 09/685,828  
Notice of Allowance dated 3/22/04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of  
Klein, et al.  
  
Application No: 09/685,828  
  
Filed: October 10, 2000  
  
For: METHODS OF IDENTIFYING  
COMPOUNDS HAVING NUCLEAR  
RECEPTOR HORMONE AND/OR  
ANTAGONIST ACTIVITIES

Group Art Unit: 1648  
  
Examiner: Myron G. Hill  
  
Confirmation No. 4591  
  
Notice of Allowance dated  
March 22, 2004

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TRANSMITTAL LETTER ACCOMPANYING DECLARATION

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

As required in the Notice of Allowance, Applicants submit herein a substitute Combined  
Declaration & Power of Attorney - U.S.A. Application.

PETITION UNDER 37 C.F.R. 147 (a)

The following inventors could not be reached after diligent effort. Their last known  
addresses are listed with their names.

- Elliot S. Klein, 20 Winton Farm Road, Connecticut, CA 06470
- Alan T. Johnson, 17058 Iron Mountain Drive, Poway, CA 92064-6316
- Andrew M. Standeven, 427 1/2 Orchid Avenue, Corona del Mar, CA 92625

Remaining applicants hereby petition the Director that the requirement that these inventors  
sign the declaration be suspended or waived in accordance with 37 C.F.R 1.183. The following is  
submitted as evidence that diligent effort was made to reach the inventors.

1. Copies of the letters sent to inventors requesting that they sign the declaration (3 pgs).
2. Copies of Certified Mail receipts corresponding to the above letters (3 pgs).

The Commissioner is hereby authorized to charge the \$130 fee according to 37 CFR 1.17(h) as well as any fees that may be incurred as a result of this communication, or credit any overpayment to Deposit Account No. 01-0885. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,



Dated: June 17, 2004  
Please address all correspondence to:  
Brent A. Johnson (T2-7H)  
Allergan, Inc.  
2525 Dupont Drive  
Irvine, CA 92612

Brent A. Johnson  
Registration No. 51,851  
Agent of Record  
Telephone: 714.246.4348  
Facsimile No. 714.246.4249

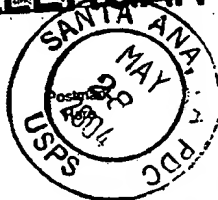


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Mr. Alan T. Johnson  
17058 Iron Mountain Drive  
Poway, CA 92064-6316

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ALLERGAN

2525 Dupont Drive, P.O. Box 19534, Irvine, California - USA 92623-9534 Telephone: (714) 246-4500 Website: www.allergan.com  
Brent A. Johnson, Ph.D.  
Patent Agent  
Phone: (714) 246-4348  
Fax: (714) 246-4249  
Email: johnson\_brent@allergan.com



May 28, 2004

Certified Mail/ Return Receipt Requested

Mr. Alan T. Johnson  
17058 Iron Mountain Drive  
Poway, CA 92064-6316

RE: U. S. Patent Application Serial Number 09/685,828  
Filed: October 10, 2000  
*Methods of Identifying Compounds Having Nuclear Receptor Negative  
Hormone and/or Antagonist Activities*  
Our Ref.: 17171 CIP4 DIV1 (HL)

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Dear Mr. Johnson: ✓

Please find enclosed a copy of the allowed claims in the above-referenced patent application filed with the U.S. Patent Office. Included in this package is a Combined Declaration & Power of Attorney for your signature.

As a co-inventor, please be so kind as to execute the Declaration & Power of Attorney and return it to me in the enclosed self-addressed, postage paid Federal Express envelope before June 20, 2004.

Thank you for your assistance in this matter and if you have any questions, please do not hesitate to contact me at the above number.

Sincerely,

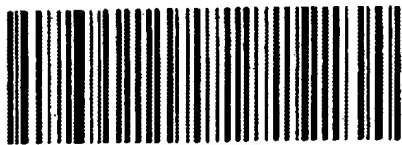
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Brent A. Johnson, Ph.D.  
Patent Agent

BAJ/sb  
Encl.

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**Mr. Alan T. Johnson**  
**17058 Iron Mountain Drive**  
**Poway, CA 92064-6316**

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**Mr. Alan T. Johnson**  
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2525 Dupont Drive, P.O. Box 19534, Irvine, California, USA 92623-9534 Telephone: (714) 246-4500 Website: www.allergan.com  
Brent A. Johnson, Ph.D.  
Patent Agent  
Phone: (714) 246-4348  
Fax: (714) 246-4249  
Email: johnson\_brent@allergan.com



June 14, 2004

Certified Mail/ Return Receipt Requested

Mr. Andrew M. Standeven  
427 1/2 Orchid Avenue  
Corona del Mar, CA 92625

RE: U. S. Patent Application Serial Number 09/685,828  
Filed: October 10, 2000  
*Methods of Identifying Compounds Having Nuclear Receptor Negative  
Hormone and/or Antagonist Activities*  
Our Ref.: 17171 CIP4 DIV1 (HL)

Dear Mr. Standeven:

Please find enclosed a copy of the allowed claims in the above-referenced patent application filed with the U.S. Patent Office. Included in this package is a Combined Declaration & Power of Attorney for your signature.

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Thank you for your assistance in this matter and if you have any questions, please do not hesitate to contact me at the above number.

Sincerely,

ALLERGAN, INC.

Brent A. Johnson, Ph.D.  
Patent Agent

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Corona del Mar, CA 92625

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A. Received by (Please Print Clearly) B. Date of Delivery

C. Signature

D. Is delivery address different from item 1? ☐ Yes ☐ No If YES, enter delivery address below:

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Mr. Andrew M. Standeven  
AMGEN  
1840 Dehavilland Dr.  
Thousand Oaks, CA 92064-6316

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Andrew M. Standeven  
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Thousand Oaks, CA 92064-6316

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C. Signature

X- [Signature] ☐ Agent ☐ Addressee

D. Is delivery address different from item 1? ☐ Yes ☒ No

If YES, enter delivery address below:

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4. Restricted Delivery? (Extra Fee) ☐ Yes

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2525 Dupont Drive, P.O. Box 19534, Irvine, California, USA 92621-9534 Telephone: (714) 246-4500 Website: www.allergan.com  
Brent A. Johnson, Ph.D.  
Patent Agent  
Phone: (714) 246-4348  
Fax: (714) 246-4249  
Email: johnson\_brent@allergan.com



May 28, 2004

Certified Mail/ Return Receipt Requested

Mr. Andrew M. Standeven  
AMGEN  
1840 Dehavilland Dr.  
Thousand Oaks, CA 92064-6316

RE: U. S. Patent Application Serial Number 09/685,828  
Filed: October 10, 2000  
*Methods of Identifying Compounds Having Nuclear Receptor Negative  
Hormone and/or Antagonist Activities*  
Our Ref.: 17171 CIP4 DIV1 (HL)

Dear Mr. Standeven:

Please find enclosed a copy of the allowed claims in the above-referenced patent application filed with the U.S. Patent Office. Included in this package is a Combined Declaration & Power of Attorney for your signature.

As a co-inventor, please be so kind as to execute the Declaration & Power of Attorney and return it to me in the enclosed self-addressed, postage paid Federal Express envelope before June 20, 2004.

Thank you for your assistance in this matter and if you have any questions, please do not hesitate to contact me at the above number.

Sincerely,

ALLERGAN, INC.

Brent A. Johnson, Ph.D.  
Patent Agent

BAJ/sb  
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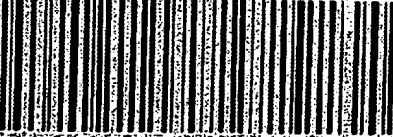
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4 City: **Newtown** ST: **CT** ZIP: **06470**  
5 Package: **2100100040**  
6 Recipient: **S. Bartholomew** Phone: **(714) 246-4753**  
7 Company: **ALLERGAN SALES LLC**  
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4 City: **Thousand Oaks** ST: **CA** ZIP: **92064-6316**  
5 Package: **2100100040**  
6 Recipient: **S. Bartholomew** Phone: **(714) 246-4753**  
7 Company: **ALLERGAN SALES LLC**  
8 Address: **18455 TELLER AVE**  
9 City: **IRVINE** ST: **CA** ZIP: **92612**

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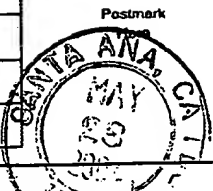


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**Mr. Elliott S. Klein**  
**20 Winton Farm Rd.**  
**Newtown, CT 06470**

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**20 Winton Farm Rd.**  
**Newtown, CT 06470**

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**JUN 15 2004**

**LEGAL PATENTS**

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C. Signature **X** **Elliott Klein** ☐ Agent ☐ Addressee

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2525 Dupont Drive, P.O. Box 19534, Irvine, California, USA 92623-9534 Telephone: (714) 246-4500 Website: www.allergan.com  
Brent A. Johnson, Ph.D.  
Patent Agent  
Phone: (714) 246-4348  
Fax: (714) 246-4249  
Email: johnson\_brent@allergan.com



May 28, 2004

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Mr. Elliott S. Klein  
20 Winton Farm Rd.  
Newtown, CT 06470

RE: U. S. Patent Application Serial Number 09/685,828  
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Thank you for your assistance in this matter and if you have any questions, please do not hesitate to contact me at the above number.

Sincerely,

ALLERGAN, INC.

A handwritten signature in black ink that reads "Brent A. Johnson".

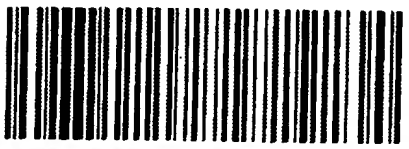
Brent A. Johnson, Ph.D.  
Patent Agent

BAJ/sb  
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Mr. Elliott S. Klein  
 20 Winton Farm Rd.  
 Newtown, CT 06470

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Mr. Elliott S. Klein  
 20 Winton Farm Rd.  
 Newtown, CT 06470

**COMPLETE THIS SECTION ON DELIVERY**

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C. Signature <b>X</b>	<input type="checkbox"/> Agent <input type="checkbox"/> Addressee
D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input type="checkbox"/> No	

3. Service Type

☐ Certified Mail ☐ Express Mail  
☐ Registered ☐ Return Receipt for Merchandise  
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